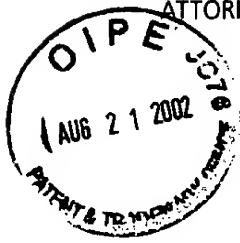




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•Practice Limited to
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RCE/1632

August 21, 2002

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RECEIVED

AUG 22 2002

Art Unit 1632

TECH CENTER 1600/2900

Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Utility Patent Application
Appl. No. 09/557,907; Filed: April 21, 2000
For: **Treatment of Cancer Using Cytokine-Expressing
Polynucleotides and Compositions Therefor**

Inventors: Horton *et al.*
Our Ref: 1530.0060004/EKS/EJH

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Fee Transmittal Form;
2. Request for Continued Examination Transmittal;
3. Amendment and Reply Under 37 C.F.R. § 1.114;
4. Second Supplemental Information Disclosure Statement;
5. Form PTO-1449 listing 17 documents;
6. Copies of 12 of the documents listed on Form PTO-1449;
7. Our check number 36366 for \$740.00; and
8. One (1) return postcard.

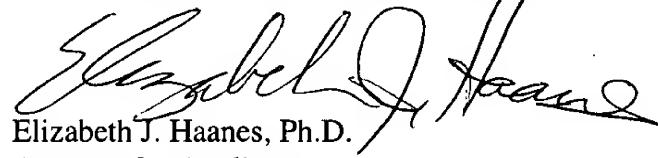
Commissioner for Patents
August 21, 2002
Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Elizabeth J. Haanes, Ph.D.
Attorney for Applicants
Registration No. 42,613

EJH/PAC:drb
Enclosures

::ODMA\MHODMA\SKGF_DC1\46997:1
SKGF Rev. 2/15/02 dcw

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

O.I.P.E.
AUG 21 2002
PATENT & TRADEMARK OFFICE
**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 740.00)

Complete If Known

| | |
|----------------------|----------------------|
| Application Number | 09/557,907 |
| Filing Date | April 21, 2000 |
| First Named Inventor | Holly HORTON |
| Examiner Name | Wilson, Michael C. |
| Group Art Unit | 1632 |
| Attorney Docket No. | 1530.0060004/EKS/EJH |

TOTAL AMOUNT OF PAYMENT

(\$ 740.00)

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number **19-0036**
Deposit Account Name **Sterne, Kessler, Goldstein & Fox P.L.L.C.**

Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

Applicant claims small entity status
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other*
*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

FEE CALCULATION**1. BASIC FILING FEE**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|-------------------------------|----------|
| 101 | 740 | 201 370 Utility filing fee | _____ |
| 106 | 330 | 206 165 Design filing fee | _____ |
| 107 | 510 | 207 255 Plant filing fee | _____ |
| 108 | 740 | 208 370 Reissue filing fee | _____ |
| 114 | 160 | 214 80 Provisional filing fee | _____ |

SUBTOTAL (1) (\$ _____)

2. EXTRA CLAIM FEES

| Extra | Fee from below | Fee Paid |
|-------|----------------|----------|
|-------|----------------|----------|

Total Claims _____ - 20** = _____ X _____ = _____

Indep. Claims _____ - 3** = _____ X _____ = _____

Multiple Dependent _____ = _____

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|---|----------|
| 103 | 18 | 203 9 Claims in excess of 20 | _____ |
| 102 | 84 | 202 42 Independent claims in excess of 3 | _____ |
| 104 | 280 | 204 140 Multiple dependent claim | _____ |
| 108 | 84 | 209 42 **Reissue independent claims over original patent | _____ |
| 110 | 18 | 210 9 **Reissue claims in excess of 20 and over original patent | _____ |

SUBTOTAL (2) (\$ _____)

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**
Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee paid |
|----------|----------|----------|----------|--|-------------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | TECH |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | CENTER |
| 139 | 130 | 139 | 130 | Non-English specification | AUG 22 2002 |
| 147 | 2,520 | 147 | 2,520 | For filing a request for <i>ex parte</i> reexamination | 1600/2900 |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 400 | 216 | 200 | Extension for reply within second month | |
| 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| 119 | 320 | 219 | 160 | Notice of Appeal | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 | 230 | Design issue fee | |
| 144 | 620 | 244 | 310 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 130 | 123 | 130 | Petitions related to provisional applications | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 481 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | 740.00 |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify) :

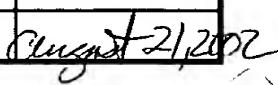
Other fee (specify) :

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 740.00)

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Complete (if applicable)

| | | | | | |
|-------------------|---|-----------------------------------|--------|-----------|--------------|
| Name (Print/Type) | Elizabeth J. Haanes, Ph.D. | Registration No. (Attorney/Agent) | 42,613 | Telephone | 202-371-2600 |
| Signature |  | | | | |
| Date |  | | | | |

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SKGF Rev. 6/11/02 mmac

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